



206,270
Application Number 10/716,893

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peterson, Gary E. Examiner: Pillai, Namitha
Application Number: 10/716,893 Art Unit: 2173
Confirmation Number: 8694
Filing Date: November 18, 2003
Title: INTERACTIVE RISK MANAGEMENT
SYSTEM AND METHOD

March 16, 2009

STATEMENT OF FILING BY EXPRESS MAIL 37 CFR § 1.10

This correspondence is being deposited with the U.S. Postal Service on: March 16, 2009 in an envelope as "Express Mail PostOffice to Addressee" Mailing Label No.: EB 908954847 US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

TRANSMITTAL

Mail Stop - RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In conjunction with the Request for Reconsideration submitted herewith in response to the Office Action dated October 14, 2008, attached is a true color copy of a visual display referenced in the accompanying Supplemental Declaration of Prior Invention Under 37 C.F.R. § 1.131 signed by Gary E. Peterson on March 10, 2009 and the Declaration of Robert P. Catalano in this application signed on March 10, 2009, and which was also submitted as RPC Exhibit A-1 in the Declaration of Robert P. Catalano filed in this application and signed on June 23, 2008.

Respectfully submitted,

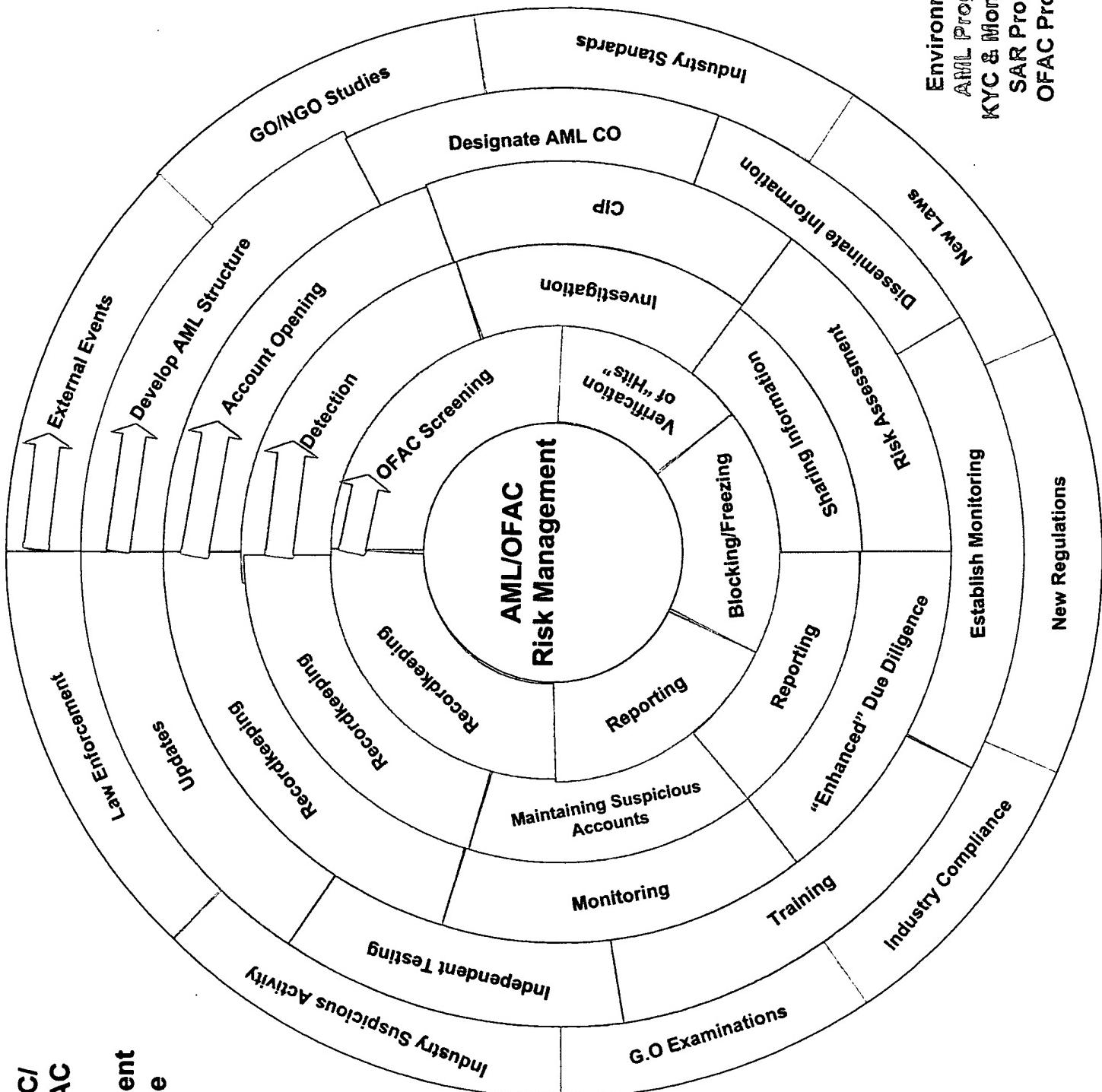
ABELMAN, FRAYNE & SCHWAB
Attorneys for Applicant

By

Ralph J. Crispino
Attorney for Applicant
Reg. No. 46,144

666 Third Avenue
New York, NY 10017-5621
Tel.: (212) 949-9022
Fax: (212) 949-9190

AML/KYC/ SAR/OFAC Management Universe



Environment
AML Program
KYC & Monitoring
SAR Process
OFAC Process